

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.
 DC5180PCT1

In Re Application of: GARY Thomas BURNS, et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/580945	30/MAY/2006		00137		9248

Invention: Method of Removing Impurities From Metallurgical Grade Silicon to Produce Solar Grade Silicon

Mail Stop Missing Parts

COMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
15-Nov-2006

Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Copy of PCT Request Form as filed on August 27, 2004 which contained the Declaration signed by all the inventors.

The fee for late filing of the original Declaration should not be charged since the Declaration was timely filed with the PCT Request Form.

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
DC5180PCT1

In Re Application Of: GARY Thomas BURNS ,et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/580945	21/JUN/1995		00137		

Invention: Method of Removing Impurities From Metallurgical Grade Silicon to Produce Solar Grade Silicon

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

☐ Completion of application fees as calculated below:

☐ Utility application filing fee _____

☐ Design application filing fee _____

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims _____

☐ Surcharge for late payment of filing fee and/or late filing of original declaration or oath _____

☐ Petition and fee for filing by other than all the inventors or a person not the inventor _____

☐ Fee for processing an application filed with a non-English language specification _____

☐ Fee for processing and retention of application _____

Total completion of application fees _____

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due _____

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
DC5180PCT1

In Re Application Of: GARY Thomas BURNS ,et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/580945	21/JUN/1995		00137		

Invention: Method of Removing Impurities From Metallurgical Grade Silicon to Produce Solar Grade Silicon

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

The fee of _____ is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 04-1520
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Larry A. Milco

Signature

Larry Milco
41,196
989-496-3161
Patent Agent
Mail Number C01232
P.O. Box 994
2200 W. Salzburg Road
Midland, MI 48686-0994
UNITED STATES OF AMERICA

Dated: 12-5-06

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CF. 1.8(a)] on 12/5/06

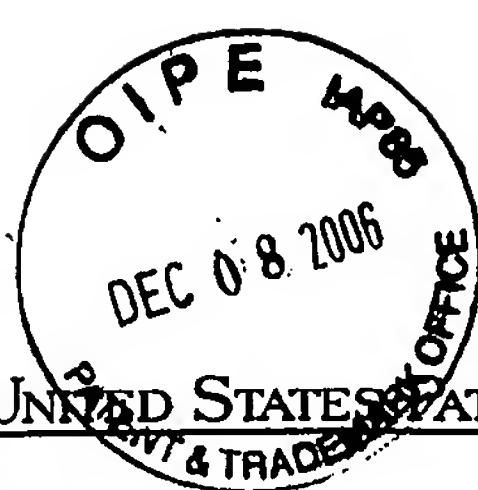
Christine M. Fitak

Signature of Person Mailing Correspondence

Christine M. Fitak

Typed or Printed Name of Person Mailing Correspondence

cc:



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/580,945	PERSON NAMED APPLICANT RECEIVED Gary Burns	ATTY. DOCKET NO. DC5180PCT1
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00137
 DOW CORNING CORPORATION CO1232
 2200 W. SALZBURG ROAD
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 MIDLAND, MI 48686-0994

NOV 21 2006

PATENT DEPT
 DOW CORNING

INTERNATIONAL APPLICATION NO.

PCT/US04/27846

I.A. FILING DATE

08/27/2004

PRIORITY DATE

12/04/2003

CONFIRMATION NO. 9248

371 FORMALITIES LETTER



OC000000021223325

Date Mailed: 11/15/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/30/2006
- Copy of the International Search Report filed on 05/30/2006
- Preliminary Amendments filed on 05/30/2006
- Information Disclosure Statements filed on 05/30/2006
- U.S. Basic National Fees filed on 05/30/2006
- Assignment filed on 05/30/2006
- Priority Documents filed on 05/30/2006
- Specification filed on 05/30/2006
- Claims filed on 05/30/2006
- Abstracts filed on 05/30/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$360 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$490** for a Large Entity:

- **\$130** Surcharge.
- Total additional claim fee(s) for this application is **\$ 360**
 - **\$360** for multiple dependent claim surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

12/12/2006 MKAYPAGH 00000067 041520 10580945

01 FC:1617 130.00 DA
 02 FC:1616 360.00 DA

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/580,945	PCT/US04/27846	DC5180PCT1

FORM PCT/DO/EO/905 (371 Formalities Notice)

Certificate of Express Mailing (37 CFR 1.10)

EV319569137US

"Express Mail" Mailing Number

August 27, 2004

Date of Deposit

I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop 107, Commissioner for Patents, Alexandria, VA 22313-1450.

COPY

Christine M. Fitak

(Typed or printed name of person mailing application)

Christine M. Fitak

(Signature of person mailing application)

PCT INTERNATIONAL APPLICATION COVER LETTER

Regarding the International Application of:

Docket or Reference Number: DC5180PCT1

Applicant(s): DOW CORNING CORPORATION

Entitled: METHOD OF REMOVING IMPURITIES FROM METALLURGICAL GRADE SILICON TO PRODUCE SOLAR GRADE SILICON

Corresponding to the following application(s):

<u>Serial No.</u>	<u>Filing Date</u>	<u>Country</u>
60/527,120	4 December 2003	US

To the United States Receiving Office (RO/US):

Accompanying this cover letter is the above-identified International Application, including a completed Request Form PCT/RO/101 and Fee Sheet. Please process the application according to the provisions of the Patent Cooperation Treaty.

Applicant hereby requests a Foreign Transmittal License under 35 USC 184. It is not believed that any section of 35 USC 181 applies.

Respectfully submitted,

Larry A. Milco

Larry A. Milco
Agent for the Applicant(s)
Reg. No. 41,196

Date: 8/26/04